

Docket No. CPE31

Received

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

NOV 16 2001

Technology Center 2100

Applicants : Howard W. Lutnick et al.

Application No. : 09/941,901 Confirmation No. : 7586

Filed : August 28, 2001

For : SYSTEMS AND METHODS FOR PROVIDING  
INTERACTIVE ASSISTANCE ON PURCHASE  
DECISION-MAKING

Group Art Unit : 2161

Commissioner for Patents  
Washington, D.C. 20231

New York, New York  
November 16, 2001

TRANSMITTAL LETTER FOR  
INFORMATION DISCLOSURE STATEMENT

Sir:

Transmitted herewith is an Information Disclosure Statement in the above-identified application. This Statement is submitted:

- within three months of the application filing date;
- more than three months from the application filing date but before the mailing date of the first Office Action on the merits.

In accordance with 37 C.F.R. § 1.97, submission of this Statement requires no fee. However, if for any reason a fee is due, the Director is hereby authorized to charge payment of any fees required in connection with this Information Disclosure Statement to Deposit Account

No. 06-1075. A duplicate copy of this letter is transmitted herewith.

Respectfully submitted,



Andrew Van Court  
Andrew Van Court  
Reg. No. 48,506  
Agent for Applicants  
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Commissioner for Patents  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,  
applicants wish to call the attention of the Examiner to the  
following references:

FOREIGN PATENTS

PCT	WO 01/24039 A2	Apr. 5, 2001
PCT	WO 01/46841 A2	Jun. 28, 2001

OTHER PUBLICATIONS

Quicken.com, Intuit Inc., at  
<http://quicken.com/welcome/> (printed March 19, 2001).

Autobytel.com, Autobytel.com, Inc., at  
<http://www.autobytel.com/> (printed March 19, 2001).

*Quicken.com*, Intuit, Inc., at  
<http://quicken.com/shopping/> (printed March 19, 2001).

*SmartMoney.com*, SmartMoney, at  
<http://smartmoney.com/oneasset/> (printed March 19, 2001).

Copies of the aforementioned references, which are listed on the accompanying Form PTO-1449 (submitted in duplicate), are enclosed herewith.

Consideration of the foregoing in relation to this patent application is respectfully requested.

Respectfully submitted,

  
\_\_\_\_\_  
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FORM PTO-1449  INFORMATION DISCLOSURE STATEMENT BY APPLICANT	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. CF-031	APPLICATION NO. 09/941,901
	APPLICANTS Howard W. Lutnick et al.	CONFIRMATION No. 7586	
	FILING DATE August 28, 2001	GROUP 2161	

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNT RY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	WO 01/24039 A2	04/05/2001	PCT	G06F	17/00		
	WO 01/46841 A2	06/28/2001	PCT	G06F	17/00		

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	
	Quicken.com, Intuit Inc., at <a href="http://quicken.com/welcome/">http://quicken.com/welcome/</a> (printed March 19, 2001).
	Autobytel.com, Autobytel.com, Inc., at <a href="http://www.autobytel.com/">http://www.autobytel.com/</a> (printed March 19, 2001).
	Quicken.com, Intuit, Inc., at <a href="http://quicken.com/shopping/">http://quicken.com/shopping/</a> (printed March 19, 2001).
	SmartMoney.com, SmartMoney, at <a href="http://smartmoney.com/oneasset/">http://smartmoney.com/oneasset/</a> (printed March 19, 2001).

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.